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Program transformation implemented in the OCAML compiler by Frédéric Bour, Basile Clément & Gabriel Scherer.

Formalize the transformation and its soundness.

Prove soundness using an adequate IRIS binary logical relation à la SIMULIRIS.

The map problem: natural implementation

```
let rec map f xs =
  match xs with
  | \quad [ ] \quad \rightarrow \quad
       []
  | x :: xs \rightarrow
       let y = f x in
       y :: map f xs
# List.init 250 000 (fun \rightarrow ())
  |> map Fun.id
  |> ignore
  ;;
Stack overflow during evaluation (looping recursion?).
```

The map problem: natural implementation



The map problem: APS implementation

```
let rec map ys f xs =
  match xs with
  | [] \rightarrow
    List.rev ys
  | x :: xs \rightarrow
      let y = f x in
      map (y :: ys) f xs
let map xs =
  map [] f xs
# List.init 250_000 (fun \rightarrow ())
  > map Fun.id
  |> ignore
 ;;
-: unit = ()
```

The map problem: APS implementation



The map problem: DPS implementation



The map problem: DPS implementation

```
let rec map_dps dst f xs = let map f xs =
 match xs with
                            match xs with
  | [] \rightarrow
                            | [] \rightarrow
  set field dst 1 []
                            []
  | x :: xs \rightarrow
                            | x :: xs \rightarrow
     let y = f x in
                                let y = f x in
     set field dst 1 dst';
                            map dps dst f xs ;
     map dps dst' f xs
                               dst
```

```
# List.init 250_000 (fun _ → ())
    |> map Fun.id
    |> ignore
    ;;
- : unit = ()
```

The map problem: TMC

```
let[@tail_mod_cons] rec map f xs =
  match xs with
  | [] \rightarrow
       ٢٦
  | x :: xs \rightarrow
       let y = f x in
       y :: map f xs
# List.init 250_000 (fun \rightarrow ())
  > map Fun.id
  > ignore
  ;;
-: unit = ()
```

DATALANG: syntax

Index	\ni	i	::=	0 1 2
Tag	\ni	t		
$\mathbb B$	\ni	b		
L	\ni	l		
\mathbb{F}	\ni	f		
X	\ni	x, y		
Val	\ni	v, w	::=	$\bigcirc \mid i \mid t \mid b \mid \ell \mid 0 \boldsymbol{f}$
Expr	\ni	e	* *	$v \mid x \mid \texttt{let} \ x = e_1 \ \texttt{in} \ e_2 \mid e_1 \ \overline{e_2}$
				$e_1 = e_2 \mid \texttt{if} \ e_0 \texttt{ then } e_1 \texttt{ else } e_2$
				$\set{t, e_1, e_2}$
				$e_1.(e_2) \mid e_1.(e_2) \leftarrow e_3$
Def	\ni	d	::==	$\operatorname{\mathtt{rec}}\overline{x}$ = e
Prog	\ni	p	÷	$\mathbb{F} \stackrel{\text{fin}}{\rightharpoonup} \text{Def}$
State	\ni	σ	*	$\mathbb{L} \stackrel{\text{fin}}{\rightharpoonup} \text{Val}$
Config	\ni	ρ	:=	$Expr \times State$

DATALANG: map

map := rec f xs =
 match xs with
 | [] →
 []
 | x :: xs →
 let y = f x in
 y :: @map f xs

TMC transformation



$$(e_{dst}, e_{idx}, e_s) \stackrel{\xi}{\underset{\mathrm{dps}}{\longrightarrow}} e_t \qquad d_s \stackrel{\xi}{\underset{\mathrm{dps}}{\longrightarrow}} d_t$$

 $p_s \rightsquigarrow p_t$

TMC transformation: map

map := rec f xs = match xs with $| [] \rightarrow$ ٢٦ $| x :: xs \rightarrow$ let y = f x in dst

map dps := rec dst idx f xs = match xs with $| [] \rightarrow$ dst.(idx) \leftarrow [] $| x :: xs \rightarrow$ let y = f x in let dst = y :: ■ in let dst' = y :: ■ in Qmap dps dst 2 f xs ; dst.(idx) \leftarrow dst'; @map_dps dst' 2 f xs

Transformation soundness

 $p_s \rightsquigarrow p_t$ program p_s transforms into program p_t

\Downarrow

$p_s \sqsupseteq p_t$

program p_t refines program p_t (termination-preserving refinement)

Transformation soundness



Specification in separation logic



Direct transformation

$$\begin{array}{c} \{v_s \approx v_t\} \\ \hline \\ \hline \texttt{Omap} \ v_s \ \gtrsim \ \texttt{Omap} \ v_t \\ \hline \\ \{w_s, w_t. \ w_s \approx w_t\} \end{array}$$

$$\begin{array}{c} \text{RelDir (Simuliris)} \\ f \in \text{dom}(p_s) \\ \hline v_s \approx v_t \\ \\ \hline \frac{\forall \, w_s, w_t. \, w_s \approx w_t \twoheadrightarrow \Phi(w_s, w_t)}{@f \, v_s \gtrsim @f \, v_t \; [\Phi]} \end{array}$$

DPS transformation

$$\begin{array}{c} \{ v_s \approx v_t * (\ell + i) \mapsto_t \blacksquare \} \\ \hline \\ \hline \\ \hline \\ \hline \\ \hline \\ \hline \\ \{ w_s, () . \exists w_t. w_s \approx w_t * (\ell + i) \mapsto_t w_t \} \end{array} \end{array}$$

RELDPS
$$\begin{split} \xi[f] &= f_{dps} \\ \overline{v_s} \approx \overline{v_t} \\ \ell \mapsto_t \overline{v} \\ \hline \forall w_s, w_t. w_s \approx w_t \twoheadrightarrow \ell \mapsto_t \overline{v}[i \mapsto w_t] \twoheadrightarrow \Phi(w_s, ()) \\ \hline & \mathbb{O}f \ \overline{v_s} \gtrsim \mathbb{O}f_{dps} \ \ell \ i \ \overline{v_t} \ [\Phi] \end{split}$$

 $\frac{\underset{\mathbf{X}(e_s, e_t, \Psi)}{\mathsf{X}(e_s, e_t, \Psi)} \quad \forall e'_s, e'_t, \Psi(e'_s, e'_t) \twoheadrightarrow e'_s \gtrsim e'_t \langle \mathbf{X} \rangle \ [\Phi]}{e_s \gtrsim e_t \langle \mathbf{X} \rangle \ [\Phi]}$

$$f_s \approx f_t \qquad \qquad xs_s \approx xs_t$$

 ${\tt Omap}~f_s~xs_s$

 \gtrsim

 $@map f_t xs_t$



$$f_s \approx f_t \qquad \qquad xs_s \approx xs_t$$



[]





$$f_s \approx f_t \qquad \qquad xs_s \approx xs_t$$
$$x_s \approx x_t \qquad \qquad xs'_s \approx xs'_t$$

let y =
$$f_s x_s$$
 in
y :: @map $f_s xs'_s$ \gtrsim

let
$$y = f_t x_t$$
 in
let $dst = y :: \blacksquare$ in
 $@map_dps dst 2 f_t xs'_t$;
 dst



$$f_s \approx f_t \qquad \qquad xs_s \approx xs_t$$
$$x_s \approx x_t \qquad \qquad xs'_s \approx xs'_t$$

 $y_s \approx y_t$

 $f_s \approx f_t \qquad xs_s \approx xs_t$ $x_s \approx x_t \qquad xs'_s \approx xs'_t$

 $y_s \approx y_t$





 $y_s \approx y_t$

$$\ell_t \mapsto_t (\text{CONS}, y_t, \blacksquare)$$

 y_s :: Omap f_s xs'_s

 \gtrsim

let dst = ℓ_t in $@map_dps dst 2 f_t xs'_t$; dst



dst



 y_s :: $\operatorname{Qmap} f_s xs'_s$

 \gtrsim

<code>@map_dps</code> ℓ_t 2 f_t xs'_t ; ℓ_t



$$\begin{split} f_s &\approx f_t & xs_s \approx xs_t \\ x_s &\approx x_t & xs_s' \approx xs_t' \\ & y_s &\approx y_t \\ & ys_s &\approx ys_t \\ & \ell_t &\mapsto_t (\text{CONS}, y_t, ys_t) \end{split}$$

 y_s :: ys_s

 \gtrsim

() ; ℓ_t

 $\begin{aligned} f_s &\approx f_t & xs_s \approx xs_t \\ x_s &\approx x_t & xs_s' \approx xs_t' \\ & y_s &\approx y_t \\ & ys_s &\approx ys_t \\ \ell_t &\mapsto_t (\text{CONS}, y_t, ys_t) \end{aligned}$

 y_s :: ys_s

 \gtrsim

 ℓ_t



 ℓ_t

 ℓ_s

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l

$$\begin{split} f_s &\approx f_t & xs_s \approx xs_t \\ x_s &\approx x_t & xs_s' \approx xs_t' \\ & y_s &\approx y_t \\ & ys_s &\approx ys_t \\ & \ell_t &\mapsto_t (\text{CONS}, y_t, ys_t) \\ & \ell_s &\mapsto_s (\text{CONS}, y_s, ys_s) \end{split}$$

$$s > \ell_t$$





 ℓ_t

 ℓ_s

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 $\begin{array}{ll} f_s \approx f_t & xs_s \approx xs_t \\ x_s \approx x_t & xs_s' \approx xs_t' \\ & y_s \approx y_t \\ & ys_s \approx ys_t \\ & \ell_s \approx \ell_t \end{array}$

 ℓ_s

 \gtrsim

 ℓ_t

Concluding remarks

• The real proof deals with the *abstract* relational transformation.

 Details regarding the *undetermined evaluation* order of constructors were eluded.

 Other program transformations verified using protocols: APS, inlining.

Thank you for your attention!

Simulation

$$\begin{split} \text{sim-body}_{\mathbf{X}} \coloneqq & \mathbf{\lambda} \operatorname{sim-inner} \mathbf{\lambda} \left(\Phi, e_s, e_t \right) . \forall \sigma_s, \sigma_s. \mathbf{I}(\sigma_s, \sigma_t) \twoheadrightarrow \left[\right] \\ & \mathbb{I}(\sigma_s, \sigma_t) \ast \Phi(e_s, e_t) \\ & \mathbb{Q} \quad \mathbf{I}(\sigma_s, \sigma_t) \ast \operatorname{strongly-stuck}_{p_s}(e_s) \ast \operatorname{strongly-stuck}_{p_t}(e_s) \\ & \mathbb{Q} \quad \mathbf{I}(\sigma_s, \sigma_t) \ast \operatorname{strongly-stuck}_{p_s}(e_s) \ast \operatorname{strongly-stuck}_{p_t}(e_s) \\ & \mathbb{Q} \quad \mathbf{I}(\sigma_s, \sigma_t) \ast \operatorname{strongly-stuck}_{p_s}(e_s) \ast \operatorname{strongly-stuck}_{p_t}(e_s) \\ & \mathbb{Q} \quad \operatorname{reducible}_{p_t}(e_t, \sigma_t) \ast \forall e'_t, \sigma'_t. (e_t, \sigma_t) \ast \operatorname{sim-inner}(\Phi, e'_s, e_t) \\ & \mathbb{Q} \quad \operatorname{reducible}_{p_t}(e_t, \sigma_t) \ast \forall e'_t, \sigma'_t. (e_t, \sigma_t) \xrightarrow{P_t} (e'_t, \sigma'_t) \twoheadrightarrow \left[\right] \\ & \mathbb{Q} \quad \mathbb{Q} \quad$$

 $\operatorname{sim-inner}_{X} := \lambda \operatorname{sim} \mu \operatorname{sim-inner} \operatorname{sim-body}_{X}(\operatorname{sim}, \operatorname{sim-inner})$

 $sim_X \coloneqq \nu sim. sim-inner_X(sim)$

$$\begin{split} e_s \gtrsim e_t \left\langle \mathbf{X} \right\rangle \left[\Phi \right] &\coloneqq \mathrm{sim}_{\mathbf{X}}(\Phi, e_s, e_t) \\ e_s \gtrsim e_t \left\langle \mathbf{X} \right\rangle \left\{ \Phi \right\} &\coloneqq e_s \gtrsim e_t \left\langle \mathbf{X} \right\rangle \left[\lambda(e'_s, e'_t) . \, \exists \, v_s, v_t . \, e'_s = v_s * e'_t = v_t * \Phi(v_s, v_t) \right] \end{split}$$

TMC protocol

$$\begin{array}{lll} \mathbf{X}_{\mathrm{dir}}(\Psi, e_s, e_t) &\coloneqq & \exists f, v_s, v_t. \\ & f \in \mathrm{dom}(p_s) \ast \\ & e_s = @f \ v_s \ast e_t = @f \ v_t \ast v_s \approx v_t \ast \\ & \forall v_s', v_t'. v_s' \approx v_t' \twoheadrightarrow \Psi(v_s', v_t') \end{array}$$

$$\begin{aligned} \mathbf{X}_{\mathrm{DPS}}(\Psi, e_s, e_t) &\coloneqq & \exists f, f_{dps}, v_s, \ell, i, v_t. \\ f \in \mathrm{dom}(p_s) * \xi[f] = f_{dps} * \\ e_s &= @f \ v_s * e_t = @f_{dps} \ ((\ell, i), v_t) * v_s \approx v_t * \\ (\ell + i) \mapsto \blacksquare * \\ &\forall v'_s, v'_t. \ (\ell + i) \mapsto v'_t * v'_s \approx v'_t \twoheadrightarrow \Psi(v'_s, ()) \end{aligned}$$

 $X_{TMC} \hspace{.1in}\coloneqq \hspace{.1in} X_{dir} \sqcup X_{DPS}$